

Section #

S&H Form: PTO/SB/17 (2/01)

<b>RESPONSE TO NOTICE TO FILE MISSING PARTS</b>	Attorney Docket No.	1293.1173
	Application Number	09/764,158
	Filing Date	January 19, 2001
	First Named Inventor	Young-min CHEONG et al.
	Group Art Unit	2651

Title: OPTICAL HEAD FOR NEAR-FIELD RECORDING AND REPRODUCTION, AND METHOD OF MANUFACTURING THE SAME

Pursuant to 37 C.F.R. §1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed March 30, 2001 for the above-identified application, enclosed are the following:

- ☒ RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- ☒ Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- ☐ Formal Drawings
- ☐ English-language translation of application
- ☐ Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

- ☒ The filing fee as set forth in 37 C.F.R. §1.16(a) \$ 710.00
- ☒ The additional claim(s) fee (39 claims over 20) \$ 702.00
- ☒ The additional independent claim(s) fee (5 claims over 3) \$ 400.00
- ☐ Petition for Extension of Time (   -month) \$
- ☒ Surcharge as set forth in 37 C.F.R. §1.16(e) \$130.00


**SUBTOTAL FEES:** \$1942.00

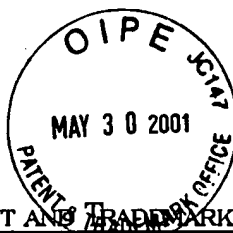
- ☐ Reduction by 50% for filing by small entity (37 CFR 1.27) .00

**SUBTOTAL FEES:** \$1942.00

**TOTAL FEES DUE:** \$1942.00

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of  , petition is hereby made for an extension to cover the date this reply is filed for, which the requisite fee is enclosed (1 month (\$110)); (2 months (\$390)); (3 months (\$890)); (4 months (\$1,390)); (5 months (\$1,890));

METHOD OF PAYMENT			
<input checked="" type="checkbox"/>	Check enclosed as payment.		
<input type="checkbox"/>	Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.		
AUTHORIZATION			
<input checked="" type="checkbox"/>	<p>If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:</p> <p style="text-align: center;">Deposit Account No.: 19-3935</p> <p style="text-align: center;">Deposit Account Name: STAAS &amp; HALSEY LLP</p>		
SUBMITTED BY: STAAS & HALSEY LLP			
Typed Name	Michael D. Stein	Reg. No.	37,240
Signature		Date	5/30/01



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/764,158	01/19/2001	Young-min Cheong	1293.1173/MDS/JHS

CONFIRMATION NO. 7623

## FORMALITIES LETTER



\*OC000000005920295\*

21171  
STAAS & HALSEY LLP  
700 11TH STREET, NW  
SUITE 500  
WASHINGTON, DC 20001

Date Mailed: 03/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1102.
  - \$702 for 39 total claims over 20.
  - \$400 for 5 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1942.**

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/31/2001 BHARTEN 00000060 09764158

710.00 00 00  
702.00 00 00  
400.00 00 00  
130.00 00 00

01 FC:101  
02 FC:103  
03 FC:102  
04 FC:105